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| APPLICATION NUMBER | FILING OR 371 (c) DATE | First named applicant | ATTORNEY DOCKET NUMBER |
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| | | | 107015 |

10/696,452

10/29/2003

Ronald J. Sapolsky

1078.1E

Date Mailed: 08/11/2004

22886 AFFYMETRIX, INC ATTN: CHIEF IP COUNSEL, LEGAL DEPT. 3380 CENTRAL EXPRESSWAY SANTA CLARA, CA 95051 CONFIRMATION NO. 5997 FORMALITIES LETTER *OC000000013508064*



NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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|---|--|------------------------|---|--|--|
| | | Application Number | 10/696,452 | | |
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Filing Date | October 29, 2003 | | |
| | | First Named Inventor | Ronald J. Sapolsky, et al. | | |
| | | Art Unit | 1645 | | |
| | | Examiner Name | Unknown | | |
| Total Number of Pages In This Submission 8 | | Attorney Docket Number | 1078.1E | | |
| ENCLOSURES (check all that apply) | | | | | |
| Fee Transmittal Form Drawin | | | After Allowance Communication to Technology Center (TC) | | |
| ☐ Fee Attached ☐ Licen | | ing-related Papers | Appeal Communication to Board of Appeals and Interferences | | |
| Amendment / Reply | Pedition | | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | | |
| After Final | Petition to Convert to a Provisional Application | | Proprietary Information | | |
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| Response to Missing Parts/ Incomplete Application | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | |
| SIGNA | TURE OF | APPLICANT, ATTORNEY, O | R AGENT | | |
| Firm or Leticia R. Block reg. Individual name | Laticia R. Block reg. 50,167 | | | | |
| Signature (hct. | 1. Acrz P. Block | | | | |
| Date August 31, 2004 | | | | | |
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| Typed or printed name Leticia R. Block | | | | | |
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a beneat by the public which is to tale (and by the USF) opposes) an application. Confiderability is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be serk to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.